



Docket N . 1962.EEM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Osama M. Musa et al.

Serial No. 09/966,453

Filed: 09/28/01

For: ADHESION PROMOTERS CONTAINING
BENZOTRIAZOLES

Group Art Unit:

Examiner:

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. 1.97

Commissioner for Patents
Washington, D. C. 20231

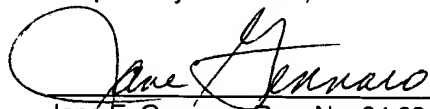
SIR:

In compliance with Applicants' duty of disclosure under 37 C.F.R. 1.56, and in accordance with 37 C.F.R. 1.98, Applicants' direct the Examiner's attention to the publications listed on the PTO #1449 form which is being submitted herewith. Copies of all publications listed on the #1449 form are being submitted herewith.

The disclosure of the above references does not constitute an admission that they are relevant or material to the claims or are "prior art" to the subject application. The citation of them is not to be construed as a representation that no better art exists or that a search has been made; they are cited merely as constituting collectively the closest art of which applicants are aware.

No additional fee is required since this information is filed within 3 months of the filing date.

Respectfully submitted,


Jane E. Gennaro, Reg. No. 34,884
Attorney for Applicant(s)
Tel. 908-685-5205

National Starch and Chemical
10 Finderne Avenue
Bridgewater, New Jersey 08807-0500

October 15, 2001

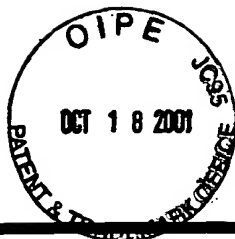
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SHEET 1 OF 7

Form PTO-1449 (REV. 7/80)		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. 1962.EEM			Serial No. 09/966,453	
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				Applicant: Osama M. Musa				
				Filing Date: 09/28/01			Group	
U.S. PATENT DOCUMENTS								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
	AA	3,493,539	11/13/68	Skouitchi et al	260	47		
	AB	4,428,987	4/28/82	Bell et al	427	327		
	AC	4,448,847	5/28/82	Bell et al	428	413		
	AD	5,122,858	9/10/90	Mahulikar et al	357	70		
	AE	5,449,951	7/1/94	Parthasarathi et	257	677		
	AF	6,225,378 B1	7/1/99	Wang et al	523	454		
	AG							
	AH							
	AI							
	AJ							
	AK							
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL	EP 0 251 490 A2 —	27.05.87	Europe	C0 9J	5/02		
	AM	EP 0 285 266 A2 —	04.03.88	Europe	C0 8J	5/12		
	AN	EP 0 285 266 A3 —	04.03.88	Europe	C0 8J	5/12		
	AO	EP 1 033 590 A2 —	16.02.2000	Europe	G02B	1/04		
	AP							
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AR							
	AS							
	AT							
EXAMINER				DATE CONSIDERED				
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SHEET 2 OF 7

Form PTO-1449
(REV. 7/80)U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No. 1962.EEM

Serial No.
09/966,453LIST OF REFERENCES CITED BY APPLICANT
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Applicant: Osama M. Musa

Filing Date: 09/28/01

Group

U.S. PATENT DOCUMENTS

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	AA						
	AB						
	AC						
	AD						
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	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL	Hei 11-[1999]-196,722 ✓	07/9/99	Japan				
	AM	Hei 11-[1999]-195,358 -	07/9/99	Japan				
	AN	Hei 11-[1999]-285,892 ~	10/06/99	Japan				
	AO	VV M 814/6.76 -		Germany				
	AP	DE 44 36 355 A 1 ✓		Germany				

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

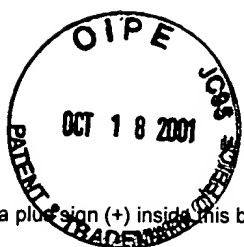
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet <u>3</u> of <u>7</u>	Application Number	09/966,453
	Filing Date	09/28/01
	First Named Inventor	Osama M. Musa
	Group Art Unit	
	Examiner Name	
Attorney Docket Number		1962.EEM

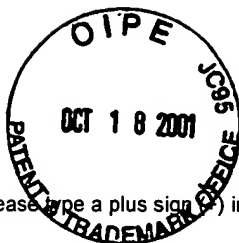
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		No author: NORBLOC – Polymerizable UV Stabilizers	
		FOURNIER, T. et al.: "Femtosecond laser studies of excited state intramolecular proton transfer in an ultraviolet – filter molecule"; Chem. Phys. Lett. 2000, 325 (1,2,3), 171-175 (Eng), Elsevier Science B.V.; CA Selects: Siloxanes & Silicones, Issue 23, 2000	
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		OSTRAUSKAITE, JOLITA et al.: "Synthesis and cationic polymerization of 5-(2,3-epoxypropyl)amino-2-phenyl-1,2,3-benzotriazole"; European Polymer Journal 36 (2000) 2499-2504; Kaunas Univ. of Tech., Kaunas, Lithuania	
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Examiner Signature #43995	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet <u>4</u> of <u>7</u>	Application Number	09/966,453
	Filing Date	09/28/01
	First Named Inventor	Osama M. Musa
	Group Art Unit	
	Examiner Name	
Attorney Docket Number		1962.EEM

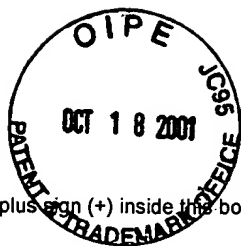
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
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		STOEBER, LUTZ et al.: "Functional Polymers 65. Synthesis And Brief Characterization of Surface Active 2(2-Hydroxyphenyl)2H-Benzotriazole Ultraviolet Stabilizers"; J.M.S.-Pure Appl. Chem., A37(9), pp. 943-970 (2000); 2000 by Marcel Dekker, Inc.	
		NO AUTHOR: Graphs: "A Benzotriazole Family"; "p-Polyhydroxystyrene Family"; TriQuest, LP	
		ACS Registry Database Structure Search, 54 Entries, 66 Pages.	
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Examiner Signature #44012	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet <u>5</u> of <u>7</u>	Application Number	09/966,453
	Filing Date	09/28/01
	First Named Inventor	Osama M. Musa
	Group Art Unit	
	Examiner Name	
Attorney Docket Number		1962.EEM

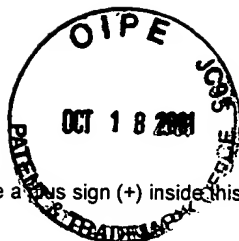
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
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		ISHIDA, H. et al.: "Modified Imidazoles: Degradation Inhibitors and Adhesion Promoters for Polyimide Films on Copper Substrates"; J. Adhesion, 1991, Vol. 36, pp. 177-191; 1991 Gordon and Breach Science Publishers S.A., U.K.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet <u>6</u> of <u>7</u>	Application Number	09/966,453
	Filing Date	09/28/01
	First Named Inventor	Osama M. Musa
	Group Art Unit	
	Examiner Name	
Attorney Docket Number		1962.EEM

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		MANSFIELD, FLORIAN et al.: Technical Note "Benzotriazole as Corrosion Inhibitor for Copper II. Acid NaCl Solutions"; Corrosion-Nace, North American Rockwell Science Center, Thousand Oaks, CA; Submitted for publication August, 1972.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 7 of 7

Application Number	09/966,453
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		SCHMIDT, R. et al.: "Investigation of the Adhesion Strength between Molding Compound and Leadframe at Higher Temperatures"; 1998 IEEE/CPMT Electronics Packaging Technology Conference.	
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